

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hiroko Abe et al.
Serial No. : 10/822,005
Filed : April 12, 2004
Title : LASER OSCILLATOR

Art Unit : 2828
Examiner : Tuan N. Nguyen
Conf. No. : 1587

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR INITIALED FORM PTO-1449

Upon reviewing the file, applicants noted that they have not received an initialed copy of the enclosed Forms PTO-1449 that accompanied information disclosure statements filed August 17, 2006 and October 29, 2008.

Applicants' records show that these information disclosure statement complied with 37 CFR 1.97. Thus, we respectfully request that the examiner initial and return these forms as soon as possible. Note, applicants must pay the issue fee by February 3, 2009, so the examiner's prompt attention to this matter would be appreciated.

Respectfully submitted,

Date: 12/2/08

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John F. Hayden
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MAIL STOP AMENDMENT

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INFORMATION DISCLOSURE STATEMENT


Applicants request consideration of the references listed on the attached Form PTO-1449. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. The fee in the amount of \$180.00 in payment of the late submission fee of §1.17(p) is being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: _____

8/17/06



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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12732-230001	Application No. 10/822,005
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Hiroko Abe et al.	
		Filing Date April 12, 2004	Group Art Unit 2828

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,478,658	12/26/1995	Dodabalapur et al.			05/20/1994
	AB	5,780,174	07/14/1998	Tokito et al.			10/25/1996
	AC	6,111,902	08/29/2000	Kozlov et al.			05/19/1997
	AD	6,160,828	12/12/2000	Kozlov et al.			01/22/1998
	AE	6,830,828	12/14/2004	Thompson et al.			06/18/2001
	AF	6,893,743	05/17/2005	Sato et al.			10/04/2001
	AG	6,939,012	09/06/2005	Cok et al.			06/02/2003
	AH	2002/0009274	01/24/2002	Gharavi			03/06/2001
	AI	2003/0054199	03/20/2003	Oh			09/04/2002
	AJ	2003/0129452	07/10/2003	Tsuji et al.			10/29/2002
	AK	2003/0219058	11/27/2003	Kahen et al.			05/23/2002
	AL	2004/0144974	07/29/2004	Lee et al.			01/29/2003
	AM	2004/0169461	09/02/2004	Moriyama et al.			05/21/2003
	AN	2004/0183082	09/23/2004	Yamazaki			03/08/2004
	AO	2004/0202893	10/14/2004	Abe			10/14/2004
	AP	2005/0008052	01/13/2005	Nomura et al.			06/30/2004
	AQ	2005/0047458	03/03/2005	Nomura et al.			06/24/2004
	AR	2005/0056856	03/17/2005	Yamazaki et al.			09/08/2004
	AS	2005/0058162	03/17/2005	Nomura et al.			09/08/2004
	AT	2005/0069012	03/31/2005	Shimomura et al.			09/23/2004

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	AU	JP-09-190883	07/22/1997	Japan			Abstract	
	AV	JP-2000-058259	02/25/2000	Japan			Abstract	
	AW	JP-2001-181617	07/03/2001	Japan			Abstract	
	AX	JP-2002-334787	11/22/2002	Japan			Abstract	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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		Filing Date April 12, 2004	Group Art Unit 2828

Foreign Patent Documents or Published Foreign Patent Applications							
	BA	JP-2002-334789	11/22/2002	Japan			Abstract

Other Documents (Include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	BB	
	BC	
	BD	
	BE	

Examiner Signature	Date Considered
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INFORMATION DISCLOSURE STATEMENT

Please consider the references listed on the enclosed PTO-1449 form. Foreign patent documents and non-patent literature are enclosed; cited U.S. patents and patent application publications will be provided on request.

Submitted herewith is an English translation of the following foreign language references, or portions thereof:

Desig. ID	Source
26	JAPAN 05-327109 – English abstract provided by esp@cenet Worldwide Web (2008) database and family to U.S. Patent No. 5,307,363 (Desig. ID “1”)
27	JAPAN 08-264279 – English abstract provided by esp@cenet Worldwide Web (2008) database
28	JAPAN 11-102783 – English abstract provided by esp@cenet Worldwide Web (2008) database
29	JAPAN 11-329734 – English abstract provided by esp@cenet Worldwide Web (2008) database
30	JAPAN 2001-257076 – English abstract provided by esp@cenet Worldwide Web (2008) database
31	JAPAN 2002-231453 – English abstract provided by esp@cenet Worldwide Web (2008) database
32	JAPAN 2002-305083 – English abstract provided by esp@cenet Worldwide Web (2008) database
33	JAPAN 2002-329913 – English abstract provided by esp@cenet Worldwide Web (2008) database and family to European Patent Nos. 1 249 903 (Desig. ID “19”) and 1 503 469 (Desig. ID “23”) and U.S. Patent Nos. 6,658,037 (Desig. ID “2”) and 6,879,618 (previously cited)
34	JAPAN 2002-540572 – English abstract provided by esp@cenet Worldwide Web (2008) database and family to PCT Publication Nos. 2000/016593 (Desig. ID “42”), 2000/057676 (Desig. ID “43”),

Desig. ID	Source
	2000/070655 (Desig. ID "44") and 2001/041512 (Desig. ID "45"), European Patent Nos. 1 729 327 (Desig. ID 24") and 1 933 395 (Desig. ID "25"), U.S. Patent Nos. 6,097,147 (previously cited), 6,830,828 (previously cited), 6,902,830 (Desig. ID "6"), 7,001,536 (Desig. ID "9") and 7,291,406 (Desig. ID "11") and U.S. Patent Publication No. 2007/0296332 (Desig. ID "18")
35	JAPAN 2003-12777 – English abstract provided by esp@cenet Worldwide Web (2008) database and family to U.S. Patent No. 6,942,931 (Desig. ID "7")
36	JAPAN 2003-068457 – English abstract provided by esp@cenet Worldwide Web (2008) database and family to U.S. Patent Publication No. 2003/0042849 (Desig. ID "12")
37	JAPAN 2003-077674 – English abstract provided by esp@cenet Worldwide Web (2008) database and family to U.S. Patent No. 6,893,743 (previously cited)
38	JAPAN 2004-253390 – English abstract provided by esp@cenet Worldwide Web (2008) database and family to European Patent Nos. 1 439 588 (Desig. ID "20"), 1 439 589 (Desig. ID "21") and 1 450 419 (Desig. ID "22"), U.S. Patent No. 6,861,800 (Desig. ID "3") and U.S. Patent Publication Nos. 2004/0140757 (Desig. ID "13"), 2004/0140758 (Desig. ID "14") and 2004/0155576 (Desig. ID "15")
39	JAPAN 2004-281274 – English abstract provided by esp@cenet Worldwide Web (2008) database and family to U.S. Patent Publication No. 2004/0183082 (previously cited)
40	JAPAN 2005-514754 – English abstract provided by esp@cenet Worldwide Web (2008) database and family to PCT Publication No. 2003/059015 (Desig. ID "46"), U.S. Patent Nos. 6,863,997 (Desig. ID "4"), 6,869,695 (Desig. ID "5"), 6,951,694 (Desig. ID "8") and U.S. Patent Publication No. 2006/0024526 (Desig. ID "16")
41	JAPAN 2006-522487 – English abstract provided by esp@cenet Worldwide Web (2008) database and family to U.S. Patent No. 7,090,928 (Desig. ID "10"), U.S. Patent Publication No. 2006/0269785 (Desig. ID "17") and PCT Publication No. 2004/093210 (Desig. ID "47")

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. I, the undersigned, hereby certify that, with the exception of references 1-25 and 42-47, each item of information contained in this statement was first cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated August 5, 2008, which is not more than three months prior to the

Applicant : Hiroko Abe et al.
Serial No. : 10/822,005
Filed : April 12, 2004
Page : 3 of 3

Attorney's Docket No.: 12732-0230001 / US7109

filing of this statement. References 1-25 and 42-47 are English-language documents corresponding to references 26-41 as set forth above, and are cited in accordance with MPEP 609.04(b)(V).

No fee is believed to be due. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 10/29/08



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		Filing Date April 12, 2004	Group Art Unit 2828

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	1	5,307,363	04/26/1994	Hosokawa et al.			03/19/1993
	2	6,658,037	12/02/2003	Kahen et al.			04/11/2001
	3	6,861,800	03/01/2005	Tyan et al.			02/18/2003
	4	6,863,997	03/08/2005	Thompson et al.			12/24/2002
	5	6,869,695	03/22/2005	Thompson et al.			03/29/2002
	6	6,902,830	06/07/2005	Thompson et al.			06/13/2002
	7	6,942,931	09/13/2005	Lee et al.			06/13/2002
	8	6,951,694	10/04/2005	Thompson et al.			03/28/2003
	9	7,001,536	02/21/2006	Thompson et al.			06/16/2004
	10	7,090,928	08/15/2006	Thompson et al.			04/01/2003
	11	7,291,406	11/06/2007	Thompson et al.			09/22/2005
	12	2003/0042849	03/06/2003	Ogino			08/28/2002
	13	2004/0140757	07/22/2004	Tyan et al.			01/17/2003
	14	2004/0140758	07/22/2004	Raychaudhuri et al.			01/17/2003
	15	2004/0155576	08/12/2004	Tyan et al.			02/04/2004
	16	2006/0024526	02/02/2006	Thompson et al.			09/19/2005
	17	2006/0269785	11/30/2006	Thompson et al.			05/15/2006
	18	2007/0296332	12/27/2007	Thompson et al.			07/16/2007

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	19	1 249 903	10/16/2002	Europe			In English	
	20	1 439 588	07/21/2004	Europe			In English	
	21	1 439 589	07/21/2004	Europe			In English	
	22	1 450 419	08/25/2004	Europe			In English	
	23	1 503 469	02/02/2005	Europe			In English	
	24	1 729 327	12/06/2006	Europe			In English	

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							Yes	No
	25	1 933 395	06/18/2008	Europe			In English	
	26	05-327109	12/10/1993	Japan			ABS	
	27	08-264279	10/11/1996	Japan			ABS	
	28	11-102783	04/13/1999	Japan			ABS	
	29	11-329734	11/30/1999	Japan			ABS	
	30	2001-257076	09/21/2001	Japan			ABS	
	31	2002-231453	08/16/2002	Japan			ABS	
	32	2002-305083	10/18/2002	Japan			ABS	
	33	2002-329913	11/15/2002	Japan			ABS	
	34	2002-540572	11/26/2002	Japan			ABS	
	35	2003-012777	01/15/2003	Japan			ABS	
	36	2003-068457	03/07/2003	Japan			ABS	
	37	2003-077674	03/14/2003	Japan			ABS	
	38	2004-253390	09/09/2004	Japan			ABS	
	39	2004-281274	10/07/2004	Japan			ABS	
	40	2005-514754	05/19/2005	Japan			ABS	
	41	2006-522487	09/28/2006	Japan			ABS	
	42	2000/016593	03/23/2000	PCT			In English	
	43	2000/057676	09/28/2000	PCT			In English	
	44	2000/070655	11/23/2000	PCT			In English	
	45	2001/041512	06/07/2001	PCT			In English	
	46	2003/059015	07/17/2003	PCT			In English	
	47	2004/093210	10/28/2004	PCT			In English	

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	48	

Examiner Signature	Date Considered
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